

### **Amendment to the Claims**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

- 1           1.       (currently amended) A system for grouping clusters of
- 2       semantically scored documents electronically stored in a data corpus, comprising:
- 3           a scoring module determining a score, which is assigned to at least one
- 4       concept that has been extracted from a plurality of electronically-stored
- 5       documents, wherein the score is calculated as a function of a summation of a
- 6       frequency of occurrence of the at least one concept within at least one such
- 7       document, a concept weight based on a number of terms for the at least one
- 8       concept, a structural weight, and a corpus weight, forming the score assigned to
- 9       the at least one concept as a normalized score vector for each such document, and
- 10       determining a similarity between the normalized score vector for each such
- 11       document as an inner product of each normalized score vector;
- 12           a clustering module forming clusters of the documents, comprising:
- 13               a selection submodule selecting a set of candidate seed documents
- 14       from the plurality of documents;
- 15               a seed document identification submodule identifying a set of seed
- 16       documents by applying the similarity to each such candidate seed document and
- 17       selecting those candidate seed documents that are sufficiently unique from other
- 18       candidate seed documents as the seed documents;
- 19               a non-seed document identification submodule identifying a
- 20       plurality of non-seed documents;
- 21               a comparison submodule determining the similarity between each
- 22       non-seed document and a center of each cluster; and
- 23               a clustering submodule grouping each such non-seed document
- 24       into a cluster with a best fit, subject to a minimum fit;

25 a threshold module determining the similarity between each of the  
26 documents grouped into each cluster based on the center of the cluster and the  
27 scores assigned to each of the at least one concepts in that document, dynamically  
28 determining a threshold for each cluster as a function of the similarity between  
29 each of the documents, and identifying and reassigning each of the documents  
30 having the similarity falling outside the threshold.

1 Claim 2 (canceled).

1 3. (previously presented) A system according to Claim 1, further  
2 comprising:  
3 a compression module compressing the score through logarithmic  
4 compression.

1 Claim 4 (canceled).

1 5. (original) A system according to Claim 1, further comprising:  
2 the scoring module calculating the structural weight as a function of a  
3 location of the at least one concept within the at least one such document.

1 6. (original) A system according to Claim 1, further comprising:  
2 the scoring module calculating the corpus weight as a function of a  
3 reference count of the at least one concept over the plurality of documents.

1 Claims 7-8 (canceled).

1 9. (currently amended) A method for grouping clusters of  
2 semantically scored documents electronically stored in a data corpus, comprising:  
3 determining a score, which is assigned to at least one concept that has  
4 been extracted from a plurality of electronically-stored documents, wherein the  
5 score is calculated as a function of a summation of a frequency of occurrence of  
6 the at least one concept within at least one such document, a concept weight based

7 on a number of terms for the at least one concept, a structural weight, and a  
8 corpus weight;  
9 forming the score assigned to the at least one concept as a normalized  
10 score vector for each such document;  
11 determining a similarity between the normalized score vector for each  
12 such document as an inner product of each normalized score vector;  
13 forming logically-grouped clusters of the documents, comprising:  
14 selecting a set of candidate seed documents from the plurality of  
15 documents;  
16 identifying a set of seed documents by applying the similarity to  
17 each such candidate seed document and selecting those candidate seed documents  
18 that are sufficiently unique from other candidate seed documents as the seed  
19 documents;  
20 identifying a plurality of non-seed documents;  
21 determining the similarity between each non-seed document and a  
22 center of each cluster; and  
23 grouping each such non-seed document into a cluster with a best  
24 fit, subject to a minimum fit;  
25 determining the similarity between each of the documents grouped into  
26 each cluster based on the center of the cluster and the scores assigned to each of  
27 the at least one concepts in that document;  
28 dynamically determining a threshold for each cluster as a function of the  
29 similarity between each of the documents; and  
30 identifying and reassigning each of the documents having the similarity  
31 falling outside the threshold.

1 Claim 10 (canceled).

1 11. (previously presented) A method according to Claim 9, further  
2 comprising:  
3 compressing the score through logarithmic compression.

1 Claim 12 (canceled).

1 13. (original) A method according to Claim 9, further comprising:  
2 calculating the structural weight as a function of a location of the at least  
3 one concept within the at least one such document.

1 14. (original) A method according to Claim 9, further comprising:  
2 calculating the corpus weight as a function of a reference count of the at  
3 least one concept over the plurality of documents.

1 Claims 15-16 (canceled).

1 17. (currently amended) A computer-readable storage medium holding  
2 code for grouping clusters of semantically scored documents electronically stored  
3 in a data corpus, comprising:  
4 code for determining a score, which is assigned to at least one concept that  
5 has been extracted from a plurality of electronically-stored documents, wherein  
6 the score is calculated as a function of a summation of a frequency of occurrence  
7 of the at least one concept within at least one such document, a concept weight  
8 based on a number of terms for the at least one concept, a structural weight, and a  
9 corpus weight;  
10 code for forming the score assigned to the at least one concept as a  
11 normalized score vector for each such document;  
12 code for determining a similarity between the normalized score vector for  
13 each such document as an inner product of each normalized score vector;  
14 code for forming logically-grouped clusters of the documents, comprising;  
15 code for selecting a set of candidate seed documents from the  
16 plurality of documents;  
17 code for identifying a set of seed documents by applying the  
18 similarity to each such candidate seed document and selecting those candidate

19 seed documents that are sufficiently unique from other candidate seed documents  
20 as the seed documents;  
21 code for identifying a plurality of non-seed documents;  
22 code for determining the similarity between each non-seed  
23 document and a center of each cluster; and  
24 code for grouping each such non-seed document into a cluster with  
25 a best fit, subject to a minimum fit;  
26 code for determining the similarity between each of the documents  
27 grouped into each cluster based on the center of the cluster and the scores  
28 assigned to each of the at least one concepts in that document;  
29 code for dynamically determining a threshold for each cluster as a  
30 function of the similarity between each of the documents; and  
31 code for identifying and reassigning each of the documents having the  
32 similarity falling outside the threshold.

1 18. (currently amended) A system for providing efficient document  
2 scoring of concepts within and clustering of documents in an electronically-stored  
3 document set, comprising:  
4 a scoring module scoring a document in an electronically-stored document  
5 set, comprising:  
6 a frequency module determining a frequency of occurrence of at  
7 least one concept within a document;  
8 a concept weight module analyzing a concept weight reflecting a  
9 specificity of meaning for the at least one concept within the document, wherein  
10 the concept weight is based on a number of terms for the at least one concept;  
11 a structural weight module analyzing a structural weight reflecting  
12 a degree of significance based on structural location within the document for the  
13 at least one concept;  
14 a corpus weight module analyzing a corpus weight inversely  
15 weighing a reference count of occurrences for the at least one concept within the  
16 document;

17                   a scoring evaluation module evaluating a score to be associated  
18     with the at least one concept as a function of a summation of the frequency,  
19     concept weight, structural weight, and corpus weight;  
20                   a vector module forming the score assigned to the at least one  
21     concept as a normalized score vector for each such document in the  
22     electronically-stored document set; and  
23                   a determination module determining a similarity between the  
24     normalized score vector for each such document as an inner product of each  
25     normalized score vector;  
26                   a clustering module grouping the documents by the score into a plurality  
27     of clusters, comprising:  
28                   a selection submodule selecting a set of candidate seed documents  
29     from the electronically-stored document set;  
30                   a cluster seed submodule identifying seed documents by applying  
31     the similarity to each such candidate seed document and selecting those candidate  
32     seed documents that are sufficiently unique from other candidate seed documents  
33     as the seed documents;  
34                   an identification submodule identifying a plurality of non-seed  
35     documents;  
36                   a comparison submodule determining the similarity between each  
37     non-seed document and a cluster center of each cluster; and  
38                   a clustering submodule assigning each non-seed document to the  
39     cluster with a best fit, subject to a minimum fit; and  
40                   a threshold module relocating outlier documents, comprising determining  
41     the similarity between each of the documents grouped into each cluster based on  
42     the center of the cluster and the scores assigned to each of the at least one  
43     concepts in that document, dynamically determining a threshold for each cluster  
44     as a function of the similarity between each of the documents, and identifying and  
45     reassigning each of the documents with the similarity falling outside the  
46     threshold.

1           19.     (previously presented) A system according to Claim 18, further  
2 comprising:  
3           the scoring module evaluating the score in accordance with the formula:  
4           
$$S_i = \sum_{1 \rightarrow n} f_{ij} \times cw_{ij} \times sw_{ij} \times rw_{ij}$$
  
5 where  $S_i$  comprises the score,  $f_{ij}$  comprises the frequency,  $0 < cw_{ij} \leq 1$  comprises  
6 the concept weight,  $0 < sw_{ij} \leq 1$  comprises the structural weight, and  $0 < rw_{ij} \leq 1$   
7 comprises the corpus weight for occurrence  $j$  of concept  $i$ .

1           20.     (currently amended) A system according to Claim 19, further  
2 comprising:  
3           the concept weight module evaluating the concept weight in accordance  
4 with the formula:

$$cw_{ij} = \begin{cases} 0.25 + (0.25 \times t_{ij}), & 1 \leq t_{ij} \leq 3 \\ 0.25 + (0.25 \times [7 - t_{ij}]), & 4 \leq t_{ij} \leq 6 \\ 0.25, & t_{ij} \geq 7 \end{cases}$$

6 where  $cw_{ij}$  comprises the concept weight and  $t_{ij}$  comprises [[a]] the number of  
7 terms for occurrence  $j$  of each such concept  $i$ .

1           21.     (previously presented) A system according to Claim 19, further  
2 comprising:  
3           the structural weight module evaluating the structural weight in  
4 accordance with the formula:

$$sw_{ij} = \begin{cases} 1.0, & \text{if } (j \approx \text{SUBJECT}) \\ 0.8, & \text{if } (j \approx \text{HEADING}) \\ 0.7, & \text{if } (j \approx \text{SUMMARY}) \\ 0.5 & \text{if } (j \approx \text{BODY}) \\ 0.1 & \text{if } (j \approx \text{SIGNATURE}) \end{cases}$$

6 where  $sw_{ij}$  comprises the structural weight for occurrence  $j$  of each such concept  $i$ .

1           22.     (previously presented) A system according to Claim 19, further  
2 comprising:  
3           the corpus weight module evaluating the corpus weight in accordance with  
4 the formula:

$$5 \quad rw_{ij} = \begin{cases} \left( \frac{T - r_{ij}}{T} \right)^2, & r_{ij} > M \\ 1.0, & r_{ij} \leq M \end{cases}$$

6 where  $rw_{ij}$  comprises the corpus weight,  $r_{ij}$  comprises a reference count for  
7 occurrence  $j$  of each such concept  $i$ ,  $T$  comprises a total number of reference  
8 counts of documents in the document set, and  $M$  comprises a maximum reference  
9 count of documents in the document set.

1           23.     (previously presented) A system according to Claim 19, further  
2 comprising:  
3           a compression module compressing the score in accordance with the  
4 formula:

$$5 \quad S'_i = \log(S_i + 1)$$

6 where  $S'_i$  comprises the compressed score for each such concept  $i$ .

1           24.     (original) A system according to Claim 18, further comprising:  
2 a global stop concept vector cache maintaining concepts and terms; and  
3 a filtering module filtering selection of the at least one concept based on  
4 the concepts and terms maintained in the global stop concept vector cache.

1           25.     (original) A system according to Claim 18, further comprising:  
2 a parsing module identifying terms within at least one document in the  
3 document set, and combining the identified terms into one or more of the  
4 concepts.

1           26.     (original) A system according to Claim 25, further comprising:

2 the parsing module structuring each such identified term in the one or  
3 more concepts into canonical concepts comprising at least one of word root,  
4 character case, and word ordering.

1 27. (original) A system according to Claim 25, wherein at least one of  
2 nouns, proper nouns and adjectives are included as terms.

1 Claims 28-30 (canceled).

1 31. (previously presented) A system according to Claim 18, further  
2 comprising:  
3 the similarity submodule calculating the similarity in accordance with the  
4 formula:

5 
$$\cos \sigma_{AB} = \frac{\langle \vec{S}_A \cdot \vec{S}_B \rangle}{|\vec{S}_A| |\vec{S}_B|}$$

6 where  $\cos \sigma_{AB}$  comprises a similarity between a document  $A$  and a document  $B$ ,  
7  $\vec{S}_A$  comprises a score vector for document  $A$ , and  $\vec{S}_B$  comprises a score vector for  
8 document  $B$ .

1 Claims 32-34 (canceled).

1 35. (currently amended) A method for providing efficient document  
2 scoring of concepts within and clustering of documents in an electronically-stored  
3 document set, comprising:

4 scoring a document in an electronically-stored document set, comprising:  
5 determining a frequency of occurrence of at least one concept  
6 within a document;

7 analyzing a concept weight reflecting a specificity of meaning for  
8 the at least one concept within the document, wherein the concept weight is based  
9 on a number of terms for the at least one concept;

10                   analyzing a structural weight reflecting a degree of significance  
11    based on structural location within the document for the at least one concept;  
12                   analyzing a corpus weight inversely weighing a reference count of  
13    occurrences for the at least one concept within the document; and  
14                   evaluating a score to be associated with the at least one concept as  
15    a function of a summation of the frequency, concept weight, structural weight,  
16    and corpus weight;  
17                   forming the score assigned to the at least one concept as a normalized  
18    score vector for each such document in the electronically-stored document set;  
19                   determining a similarity between the normalized score vector for each  
20    such document as an inner product of each normalized score vector;  
21                   grouping the documents by the score into a plurality of clusters,  
22    comprising:  
23                   selecting a set of candidate seed documents from the  
24    electronically-stored document set;  
25                   identifying seed documents by applying the similarity to each such  
26    candidate seed document and selecting those candidate seed documents that are  
27    sufficiently unique from other candidate seed documents as the seed documents;  
28                   identifying a plurality of non-seed documents;  
29                   determining the similarity between each non-seed document and a  
30    center of each cluster; and  
31                   assigning each non-seed document to the cluster with a best fit,  
32    subject to a minimum fit; and  
33                   relocating outlier documents, comprising:  
34                   determining the similarity between each of the documents grouped  
35    into each cluster based on the center of the cluster and the scores assigned to each  
36    of the at least one concepts in that document;  
37                   dynamically determining a threshold for each cluster as a function  
38    of the similarity between each of the documents; and

39 identifying and reassigning each of the documents with the  
40 similarity falling outside the threshold.

1 36. (previously presented) A method according to Claim 35, further  
2 comprising:  
3 evaluating the score in accordance with the formula:

$$4 \quad S_i = \sum_{j=1}^n f_{ij} \times cw_{ij} \times sw_{ij} \times rw_{ij}$$

5 where  $S_i$  comprises the score,  $f_{ij}$  comprises the frequency,  $0 < cw_{ij} \leq 1$  comprises  
6 the concept weight,  $0 < sw_{ij} \leq 1$  comprises the structural weight, and  $0 < rw_{ij} \leq 1$   
7 comprises the corpus weight for occurrence  $j$  of concept  $i$ .

1 37. (currently amended) A method according to Claim 36, further  
2 comprising:  
3 evaluating the concept weight in accordance with the formula:

$$4 \quad cw_{ij} = \begin{cases} 0.25 + (0.25 \times t_{ij})_h & 1 \leq t_{ij} \leq 3 \\ 0.25 + (0.25 \times [7 - t_{ij}])_h & 4 \leq t_{ij} \leq 6 \\ 0.25, & t_{ij} \geq 7 \end{cases}$$

5 where  $cw_{ij}$  comprises the concept weight and  $t_{ij}$  comprises  $[[a]]$  the number of  
6 terms for occurrence  $j$  of each such concept  $i$ .

1 38. (previously presented) A method according to Claim 36, further  
2 comprising:  
3 evaluating the structural weight in accordance with the formula:

$$4 \quad sw_{ij} = \begin{cases} 1.0, & \text{if } (j \approx \text{SUBJECT}) \\ 0.8, & \text{if } (j \approx \text{HEADING}) \\ 0.7, & \text{if } (j \approx \text{SUMMARY}) \\ 0.5 & \text{if } (j \approx \text{BODY}) \\ 0.1 & \text{if } (j \approx \text{SIGNATURE}) \end{cases}$$

5 where  $sw_{ij}$  comprises the structural weight for occurrence  $j$  of each such concept  $i$ .

1           39.     (previously presented) A method according to Claim 36, further  
2 comprising:  
3           evaluating the corpus weight in accordance with the formula:

$$4 \quad r_{w_{ij}} = \begin{cases} \left( \frac{T - r_{ij}}{T} \right)^2, & r_{ij} > M \\ 1.0, & r_{ij} \leq M \end{cases}$$

5     where  $r_{w_{ij}}$  comprises the corpus weight,  $r_{ij}$  comprises a reference count for  
6 occurrence  $j$  of each such concept  $i$ ,  $T$  comprises a total number of reference  
7 counts of documents in the document set, and  $M$  comprises a maximum reference  
8 count of documents in the document set.

1           40.     (previously presented) A method according to Claim 36, further  
2 comprising:  
3           compressing the score in accordance with the formula:  
4            $S'_i = \log(S_i + 1)$   
5     where  $S'_i$  comprises the compressed score for each such concept  $i$ .

1           41.     (original) A method according to Claim 35, further comprising:  
2           maintaining concepts and terms in a global stop concept vector cache; and  
3           filtering selection of the at least one concept based on the concepts and  
4           terms maintained in the global stop concept vector cache.

1           42.     (original) A method according to Claim 35, further comprising:  
2           identifying terms within at least one document in the document set; and  
3           combining the identified terms into one or more of the concepts.

1           43.     (original) A method according to Claim 42, further comprising:  
2           structuring each such identified term in the one or more concepts into  
3           canonical concepts comprising at least one of word root, character case, and word  
4           ordering.

44. (original) A method according to Claim 42, further comprising:  
including as terms at least one of nouns, proper nouns and adjectives.

Claims 45-47 (canceled).

48. (previously presented) A method according to Claim 35, further comprising:

calculating the similarity in accordance with the formula:

$$\cos \sigma_{AB} = \frac{\langle \vec{S}_A \cdot \vec{S}_B \rangle}{|\vec{S}_A| |\vec{S}_B|}$$

where  $\cos \sigma_{AB}$  comprises a similarity between a document  $A$  and a document  $B$ ,

$\vec{S}_A$  comprises a score vector for document  $A$ , and  $\vec{S}_B$  comprises a score vector for document  $B$ .

Claims 49-51 (canceled).

52. (currently amended) A computer-readable storage medium holding code for providing efficient document scoring of concepts within and clustering of documents in an electronically-stored document set, comprising:

code for scoring a document in an electronically-stored document set, comprising:

code for determining a frequency of occurrence of at least one concept within a document;

code for analyzing a concept weight reflecting a specificity of meaning for the at least one concept within the document, wherein the concept weight is based on a number of terms for the at least one concept;

code for analyzing a structural weight reflecting a degree of significance based on structural location within the document for the at least one concept;

14                   code for analyzing a corpus weight inversely weighing a reference  
15 count of occurrences for the at least one concept within the document; and  
16                   code for evaluating a score to be associated with the at least one  
17 concept as a function of a summation of the frequency, concept weight, structural  
18 weight, and corpus weight;  
19                   code for forming the score assigned to the at least one concept as a  
20 normalized score vector for each such document in the electronically-stored  
21 document set;  
22                   code for determining a similarity between the normalized score vector for  
23 each such document as an inner product of each normalized score vector;  
24                   code for grouping the documents by the score into a plurality of clusters,  
25 comprising:  
26                   code for selecting a set of candidate seed documents from the  
27 electronically-stored document set;  
28                   code for identifying seed documents by applying the similarity to  
29 each such candidate seed document and selecting those candidate seed documents  
30 that are sufficiently unique from other candidate seed documents as the seed  
31 documents;  
32                   code for identifying a plurality of non-seed documents;  
33                   code for determining the similarity between each non-seed  
34 document and a center of each cluster; and  
35                   code for assigning each non-seed document to the cluster with a  
36 best fit, subject to a minimum fit; and  
37                   code for relocating outlier documents, comprising:  
38                   code for determining the similarity between each of the documents  
39 grouped into each cluster based on the center of the cluster and the scores  
40 assigned to each of the at least one concepts in that document;  
41                   code for dynamically determining a threshold for each cluster as a  
42 function of the similarity between each of the documents; and

43                   code for identifying and reassigning each of the documents with  
44   the similarity falling outside the threshold.

1               53.   (currently amended) An apparatus for providing efficient  
2   document scoring of concepts within and clustering of documents in an  
3   electronically-stored document set, comprising:

4               means for scoring a document in an electronically-stored document set,  
5   comprising:

6               means for determining a frequency of occurrence of at least one  
7   concept within a document;

8               means for analyzing a concept weight reflecting a specificity of  
9   meaning for the at least one concept within the document, wherein the concept  
10   weight is based on a number of terms for the at least one concept;

11              means for analyzing a structural weight reflecting a degree of  
12   significance based on structural location within the document for the at least one  
13   concept;

14              means for analyzing a corpus weight inversely weighing a  
15   reference count of occurrences for the at least one concept within the document;  
16   and

17              means for evaluating a score to be associated with the at least one  
18   concept as a function of a summation of the frequency, concept weight, structural  
19   weight, and corpus weight;

20              means for forming the score assigned to the at least one concept as a  
21   normalized score vector for each such document in the electronically-stored  
22   document set;

23              means for determining a similarity between the normalized score vector  
24   for each such document as an inner product of each normalized score vector;

25              means for grouping the documents by the score into a plurality of clusters,  
26   comprising:

27              means for selecting a set of candidate seed documents from the  
28   electronically-stored document set;

29                    means for identifying seed documents by applying the similarity to  
30 each such candidate seed document and selecting those candidate seed documents  
31 that are sufficiently unique from other candidate seed documents as the seed  
32 documents;  
33                    means for identifying a plurality of non-seed documents;  
34                    means for determining the similarity between each non-seed  
35 document and a center of each cluster; and  
36                    means for assigning each non-seed document to the cluster with a  
37 best fit, subject to a minimum fit; and  
38                    means for relocating outlier documents, comprising:  
39                    means for determining the similarity between each of the  
40 documents grouped into each cluster based on the center of the cluster and the  
41 scores assigned to each of the at least one concepts in that document;  
42                    means for dynamically determining a threshold for each cluster as  
43 a function of the similarity between each of the documents; and  
44                    means for identifying and reassigning each of the documents with  
45 the similarity falling outside the threshold.